

RCE \$

Address to:  
Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Request  
For  
Continued Examination (RCE)  
Transmittal**

Application Number	09/774,388
Filing Date	January 30, 2001
First Named Inventor	Shunpei Yamazaki
Group Art Unit	2811
Examiner Name	Thien F. Tran
Attorney Docket Number	07977-265001

**This is a Request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.**  
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

- Submission required under 37 C.F.R. §1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s)

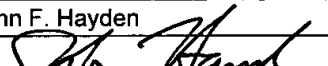
  - ☐ Previously submitted. If a final Office action is outstanding, any amendment filed after the final Office action may be considered as a submission even if this box is not checked.
    - ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
    - ☐ Other \_\_\_\_\_
  - ☒ Enclosed
    - ☐ Amendment/Reply
    - ☐ Affidavit(s)/Declaration(s)
    - ☒ Information Disclosure Statement (IDS)
    - ☐ Other \_\_\_\_\_
- Miscellaneous**

  - ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. §1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. §1.17(i) required)
  - ☐ Other \_\_\_\_\_
- Fee** The RCE fee under 37 C.F.R. §1.17(e) is required by 37 C.F.R. §1.114 when the RCE is filed.

  - ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 06-1050
    - ☐ RCE fee required under 37 CFR 1.17(e)
    - ☐ Extension of time fee (37 CFR 1.136 and 1.17)
    - ☒ Other Any deficiencies
  - ☒ Check in the amount of \$ 750 enclosed
  - ☐ Payment by credit card (Form PTO-2038 enclosed)

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## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED

Name (Print/Type)	John F. Hayden	Registration No. (Attorney/Agent)	37,640
Signature		Date	July 7, 2003

07/08/2003 EAREGAY1 00000167 09774388

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750.00 OP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei Yamazaki

Art Unit : 2811

Serial No. : 09/774,388

Examiner : Thien F. Tran

Filed : January 30, 2001

Title : SEMICONDUCTOR DEVICE AND MANUFACTURING METHOD THEREOF

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

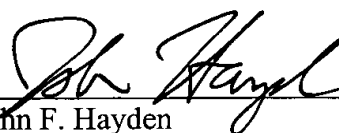
**INFORMATION DISCLOSURE STATEMENT**

Copies of the references listed on the attached form PTO-1449 are enclosed.

This filing is being made with the filing of a Request for Continued Examination. No fee is required.

Respectfully submitted,

Date: July 7, 2003

  
\_\_\_\_\_  
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Reg. No. 37,640

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Substitute Form PTO-1449

U.S. Department of Commerce  
Patent and Trademark OfficeAttorney's Docket No.  
07977-265001Application No.  
09/774,388**Information Disclosure Statement  
by Applicant**

(Use several sheets if necessary)

Applicant  
Shunpei YamazakiFiling Date  
January 30, 2001Group Art Unit  
2811

(37 CFR \$1.98(b))

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,206,749	04/27/1993	Zavracky et al.			
	AB	5,317,236	05/31/1994	Zavracky et al.			
	AC	5,376,561	12/27/1994	Vu et al.			
	AD	5,654,811	08/05/1997	Spitzer et al.			
	AE	5,781,164	07/14/1998	Jacobsen et al.			
	AF	6,043,800	03/28/2000	Spitzer et al.			
	AG						
	AH						
	AI						
	AJ						
	AK						

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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